



Australian Government

Department of Health, Disability and Ageing

# HCP and PHDB data submission user guide

Version 1.7 May 2026

Private Hospital Data Bureau (PHDB)

Health Casemix Protocol (HCP) – (validation only)

Hospital Casemix Protocol 1 (HCP1)

Hospital Casemix Protocol 2 (HCP2)

General Treatment Dental (GTD)

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# Introduction

Welcome to the Australian Government Department of Health's Enterprise Data Warehouse (EDW) Data Submission Portal (DSP) for data submissions required under the Private Health Insurance Act (PHIA).

The DSP is the most effective way to validate and submit Private Hospital Data Bureau (PHDB), Hospital Casemix Protocol (HCP), Hospital Casemix Protocol 1 (HCP1), Hospital Casemix Protocol 2 (HCP2) and General Treatment Dental (GTD) data files.

The PHIA Data Submissions User Guide is for Hospitals and Health Funds to follow for both validating data files prior to submission and then submitting those data files to the Department using the DSP. It includes instructions for uploading files for validation, submitting files, viewing a file transfer history, generating transfer reports and navigating within the DSP.

This User Guide assumes that you have already contacted the department and have obtained a username and temporary password for an account which enables access the Data Submission Portal. If you do not have a username and password please contact [hcp@health.gov.au](mailto:hcp@health.gov.au) (or ring 02 6289 8058) and request an account by giving the following details:

Name of data submitter:  
Provider number of facility:  
Provider (facility) name:  
Email for validation reports:

If you submit data for more than one hospital and require this to be set up, please provide the provider ID for all facilities.

# Identity and Access Management (IAM) Portal

This process will allow you to change your password, set or modify your challenge questions and update your personal details.

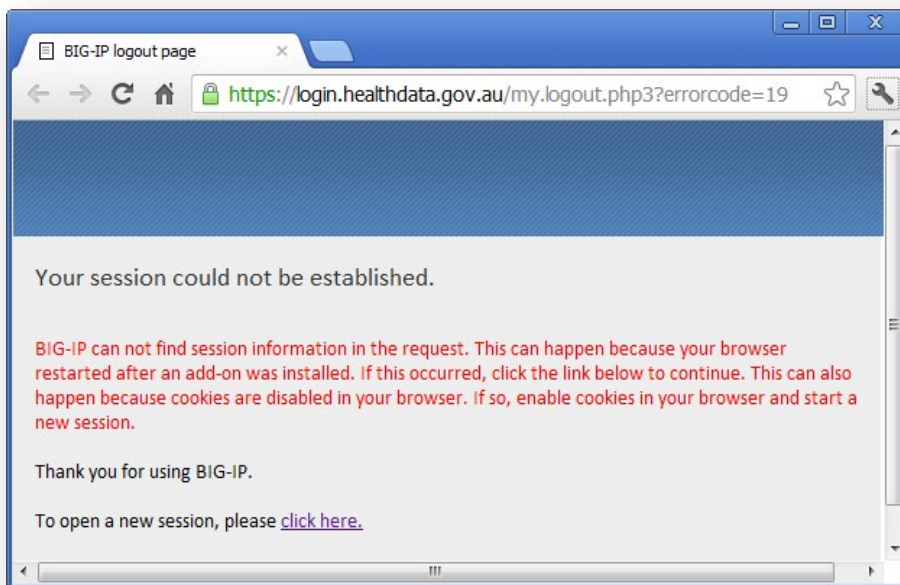
To set up a new password for your account you will need to access the Identity and Access Management (IAM) portal.

Your username and temporary password are required to access this page. You should have received these in emails from the Department of Health.

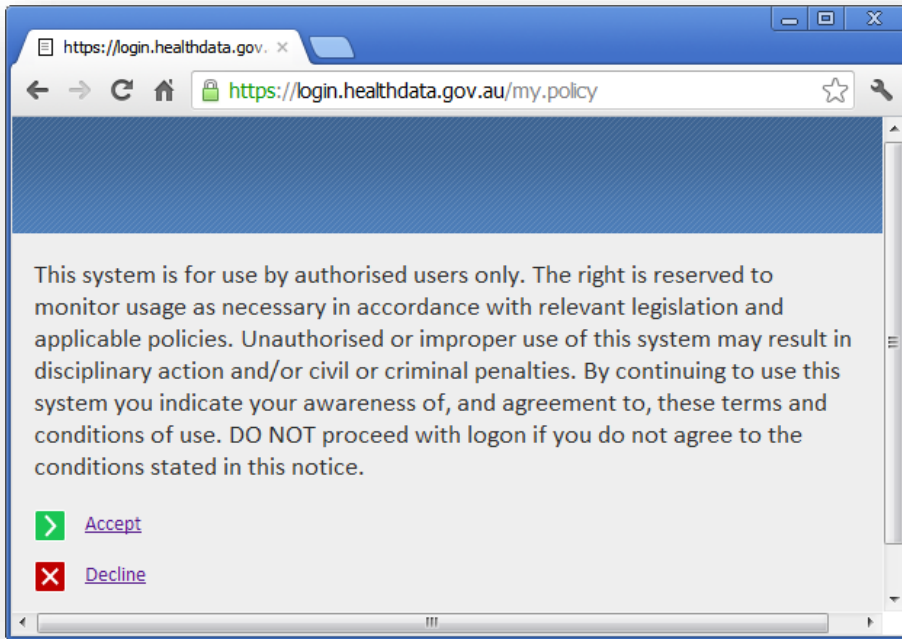
## Log on to the Identity and Access Management (IAM) portal

**Step 1:** Access the [Identity and Access Management \(IAM\) portal](#) at or click on the link provided in the Welcome email notification.

**Step 2:** Sometimes you may see the screen below depending on your browser settings. If so, click the 'click here' link.



**Step 3:** Indicate your agreement with the terms and conditions by clicking on the 'Accept'



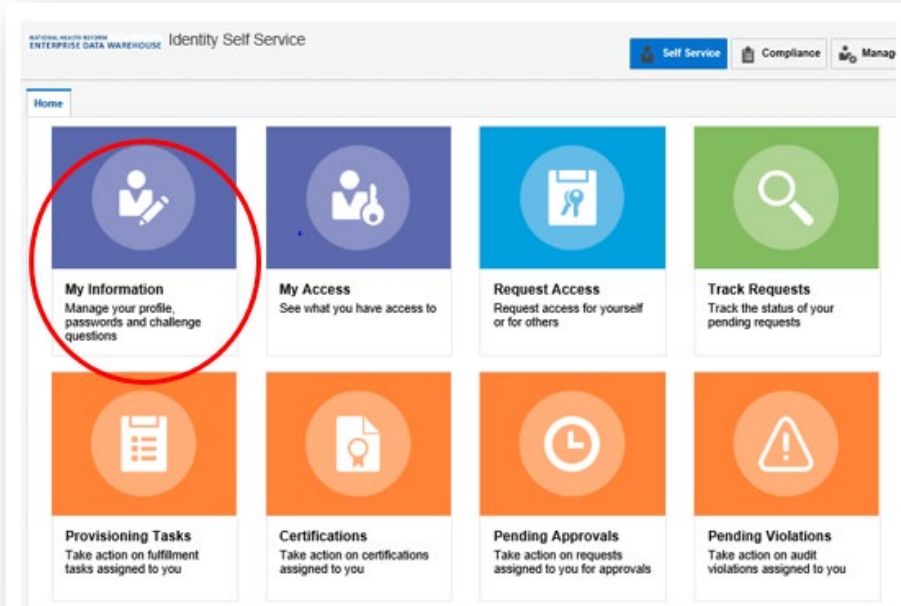
**Step 4:** Enter the username and temporary password you received in the email notification



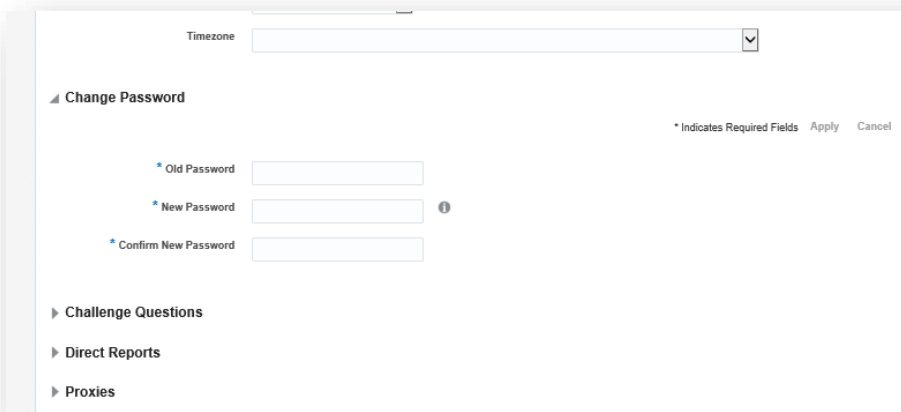
Once you are logged on, the homepage will appear where you will be able to perform a number of different activities including changing your password.

# Change your password

**Step 1:** Select the 'My Information' tab from the IAM Portal



**Step 2:** Enter your temporary/old password into the 'Old Password' field and your new password in the listed fields. Once you have completed all three fields click 'Apply'.



## Criteria for your new password

Passwords must:

- contain at least 2 alphabetic character(s)
- be at least 10 character(s) long

- contain at least 1 lowercase letter(s)
- contain at least 1 numeric character(s)
- contain at least 1 uppercase letter(s)
- start with an alphabetic character

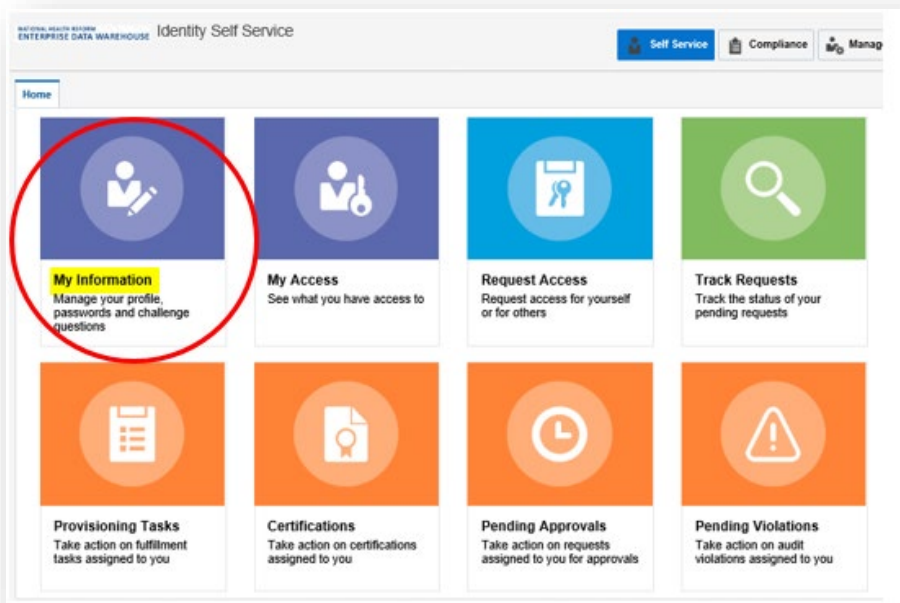
Passwords must not:

- match or contain first name
- match or contain last name
- match or contain Username

## Setting up password challenge questions

The setting of challenge questions is triggered whenever a new account holder logs into their account for the first time. The challenge questions can also be updated at any time through the Identity and Access Management (IAM) portal. These questions are used to check the user's identity whenever a password reset is requested.

**Step 1:** Select the 'My Information' tab from the IAM Portal



**Step 2:** Select the 'Challenge Questions' sub tab from the 'My Information' page.

**Step 3:** Select questions you would like to use as your three challenge questions using the drop-down boxes.

**Step 4:** Enter the answer for each chosen question into the boxes provided.

**Step 5:** Complete all questions and responses then click 'Apply'. A popup will appear to confirm that challenge questions have been successfully set.

## Reset forgotten password

If you ever forget your password, you are able to do a reset by entering the answers to your challenge questions, follow these steps.

**Step 1:** Navigate to [Forgotten Password](#)

**Step 2:** Enter your username in the space provided and then click **Next**.

**Step 3:** The following window will appear where you can enter the answers to your challenge questions which were set up when first setting your password.

You can create a new password in two steps.

- 1 Enter your username
- 2 Answer your challenge questions

You have three attempts to answer all three questions successfully before your account is locked.

**2 Answer your challenge questions**

What is your mother's maiden name?

What is the city of your birth?

What is your favorite color?

Submit

**Step 4:** Once you have filled in answers to each challenge question click the “Submit” button

**Step 5:** Once submitted an email should be sent to your email account assigning a random password which you can then use to log in.

Once you have received your temporary password, it is recommended that you change it to something more memorable to reduce the need for a future password reset.

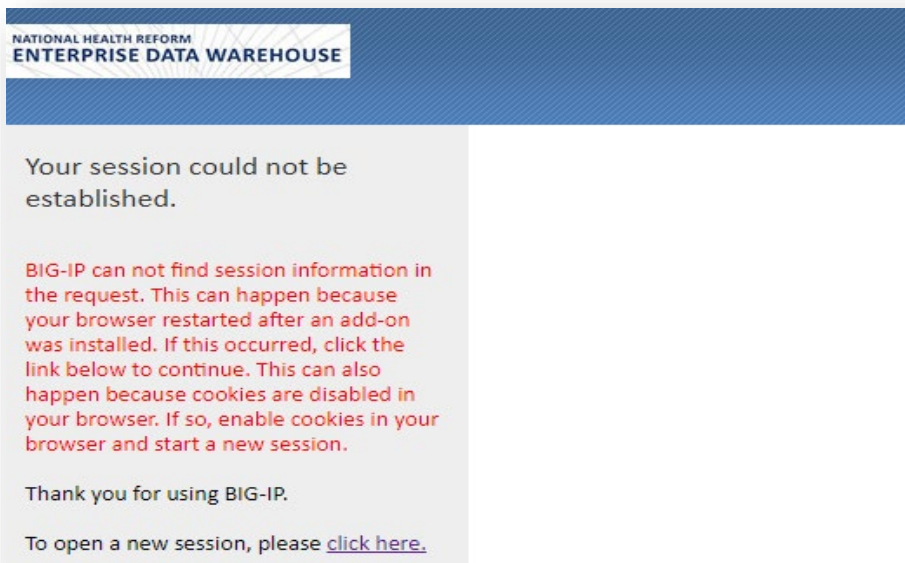
If you have forgotten the answers or never set your security challenge questions then you will have to request a manual password reset by emailing [hcp@health.gov.au](mailto:hcp@health.gov.au) providing your username and letting us know that you require a password reset.

# Data Submission Portal (DSP)

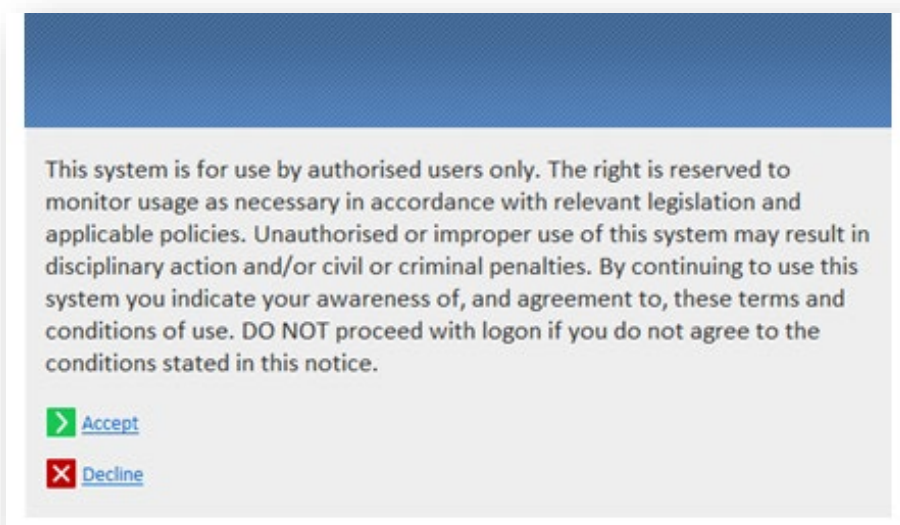
## Logging onto the DSP

**Step 1:** Navigate to the [Data submission Portal](#)

**Step 2:** You may see the screen below depending on your browser settings. If so, click on the 'click here' link.



**Step 3:** Indicate your agreement with the terms and conditions by clicking on the 'Accept' icon



**Step 4:** Enter your Username and Password and click 'Logon'.

Please note: your Username must be entered in lowercase



The image shows a 'Secure Logon' form with a blue header. It contains two input fields: 'Username' and 'Password'. Below the fields is a 'Logon' button.

After successfully logging into the Data Submission Portal you will arrive at this page:



Please note:

The standard browser 'forward' and 'back' buttons should not be used when navigating within and between the portal base pages. Instead use the menu in the left pane to navigate between the pages.

The standard browser 'refresh' button should also not be used. Instead use the refresh button or icon provided on the portal view page.

## File naming standards – PHIA file validation and submission

Naming the file correctly prior to uploading PHIA files to the DSP is essential. If the file name is incorrect, the DSP will reject the file.

For Health Funds, a different file naming standard has been specified when a HCP file is uploaded for validating. See "File naming standards – HCP File Validation by Health Funds".

PHIA data files are required to follow the relevant format listed below:

*All files are to be saved as text files (.txt), this includes files submitted within a ZIP file.*

### PHDB

*ProviderNumber(underscore)PHDB(underscore)MonthYear*

*ProviderNumber* = 8 character code used to uniquely identify the hospital who is the originating source of the data.

*MonthYear* = Month reported. Character values in the format MM(e.g. JUL="07", AUG="08") for month and YYYY (e.g. 2021) for year.

#### *Example*

**0123456T\_PHDB\_042021.txt**

### HCP1

*InsurerCode(underscore)HCP1(underscore)MonthYear*

*InsurerCode* = 3 character code used to uniquely identify the Health Fund.

*MonthYear* = Month reported. Character values in the format MM(e.g. JUL="07", AUG="08") for month and YYYY (e.g. 2021) for year.

#### *Example*

**ABC\_HCP1\_042021.txt**

### HCP2

*InsurerCode(underscore)HCP2(underscore)MonthYear*

*InsurerCode* = 3 character code used to uniquely identify the Health Fund.

*MonthYear* = Final month in quarter reported (e.g. Quarter 1 data would have March (03) as month for filename).

### **Example**

**ABC\_HCP2\_032021.txt**

### **GTD**

*InsurerCode*(underscore)**GTD**(underscore)*EndofQuarterMonthYear*

*InsurerCode* = 3 character code used to uniquely identify the Health Fund.

*EndofQuarterMonthYear* = Last month of quarter reported. Character values in the format MM(e.g. MAR="03", JUN="06") for month and YYYY (e.g. 2021) for year. This has to be the last month of the relevant reporting quarter (ie 03,06,09 or 12).

### **Example**

**ABC\_GTD\_062021.txt**

## **HCP file validation process**

HCP (hospital to insurer) validation using the DSP for 2020-21 data onwards is now available. Hospitals and Funds log in to the DSP and upload both HCP Episode and related ANSNAP files (separately) to validate that they comply with the required specifications.

There is no limit on the number of times this process may be undertaken. After Hospitals have corrected any errors, they will send their HCP Episode and ANSNAP data files to Funds in the usual way. Funds can also check that the HCP files received from Hospitals are valid. There are two acceptable file name formats for HCP validation, please see examples below.

**Please note that Hospitals are responsible for sending the validated HCP data files to the relevant Health Funds. The Department is not responsible for this process.**

See sections on [uploading files](#) and [downloading the validation reports](#).

## **File naming standards – HCP file validation by hospitals**

### **HCP**

**ProviderNumber**(underscore)**HCP**(underscore)**MonthYear**

Or

**ProviderNumber(underscore)Fundcode(underscore)HCP(underscore)MonthYear**

ProviderNumber = 8 character code used to uniquely identify the hospital who is the originating source of the data.

MonthYear = Month reported. Character values in the format MM(e.g. JUL="07", AUG="08") for month and YYYY (e.g. 2021) for year.

### **Examples**

**0123456T\_HCP\_042021.txt**

**0123456T\_ABC\_HCP\_042021.txt** – this one includes the fund code

## **HCP – ANSNAP**

**ProviderNumber(underscore)ANSNAP(underscore)MonthYear**

Or

**ProviderNumber(underscore)Fundcode(underscore)ANSNAP(underscore)MonthYear**

ProviderNumber = 8 character code used to uniquely identify the hospital who is the originating source of the data.

MonthYear = Month reported. Character values in the format MM(e.g. JUL="07", AUG="08") for month and YYYY (e.g. 2021) for year.

### **Examples**

**0123456T\_ANSNAP\_042021.txt**

**0123456T\_ABC\_ANSNAP\_042021.txt** – this one includes the fund code

## **File naming standards – HCP file validation by health funds**

These are the file naming standards which are be used by Health Funds uploading HCP files for validation using the DSP process.

Naming the file correctly prior to uploading to the DSP is essential. If the file name is incorrect, the data file will be rejected.

Different file naming standards are in use for HCP Episode files and for HCP ANSNAP files.

The files must use the file naming standard prescribed:

*All files are to be saved as text files (.txt), this includes files submitted within a ZIP file.*

## HCP – Episode

**ProviderNumber(underscore)HCPF(underscore)MonthYear**

ProviderNumber = 8 character code used to uniquely identify the hospital who is the originating source of the data.

MonthYear = Month reported. Character values in the format MM(e.g. JUL="07", AUG="08") for month and YYYY (e.g. 2013) for year.

### *Example*

**0123456T\_HCPF\_042019.txt**

## HCP – ANSNAP

**ProviderNumber(underscore)ANSNAPF(underscore)MonthYear**

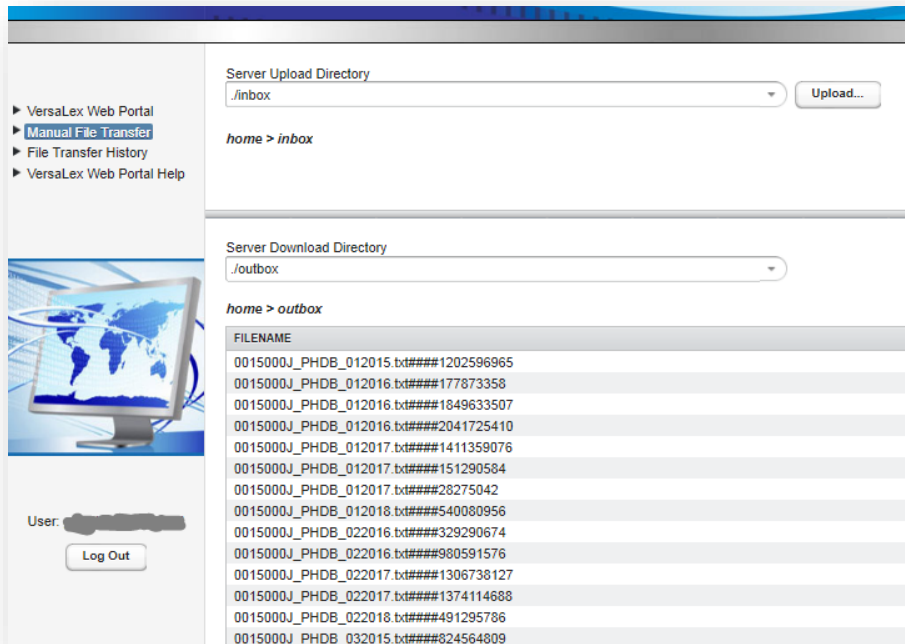
ProviderNumber = 8 character code used to uniquely identify the hospital who is the originating source of the data.

MonthYear = Month reported. Character values in the format MM(e.g. JUL="07", AUG="08") for month and YYYY (e.g. 2013) for year.

### *Example*

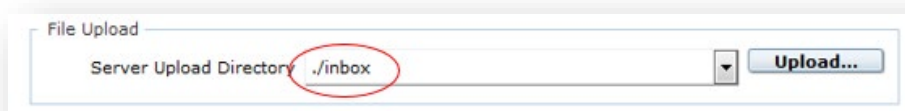
**0123456T\_ANSNAPF\_042019.txt**

## Uploading a file

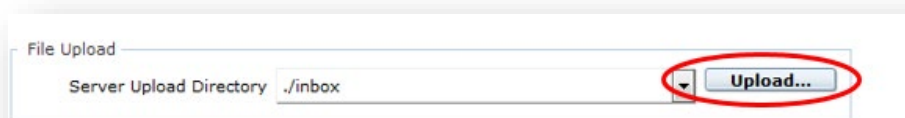


**Step 1:** To upload your files, select the 'Manual File Transfer' link from the menu, as shown below. If you see an error message after clicking the link, please click the 'Manual File Transfer' link again.

**Step 2:** In the Server Upload Directory panel, ensure that './inbox' is selected from the dropdown box.

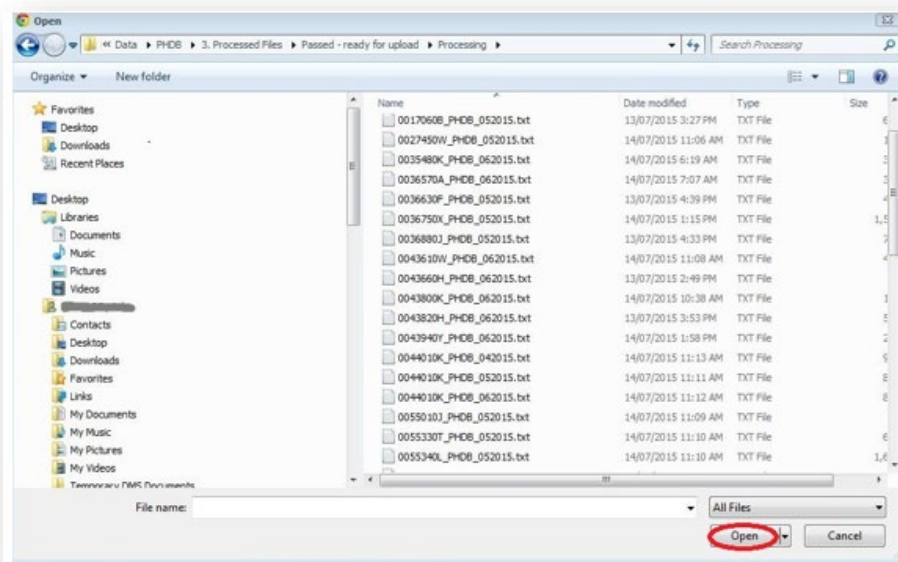


**Step 3:** Click on the 'Upload button' to upload files. A security warning may pop up, if this happens, tick the option to 'Always trust content from this publisher' and then click 'Yes'. (This warning message should only appear the first time you launch the DSP from your browser).



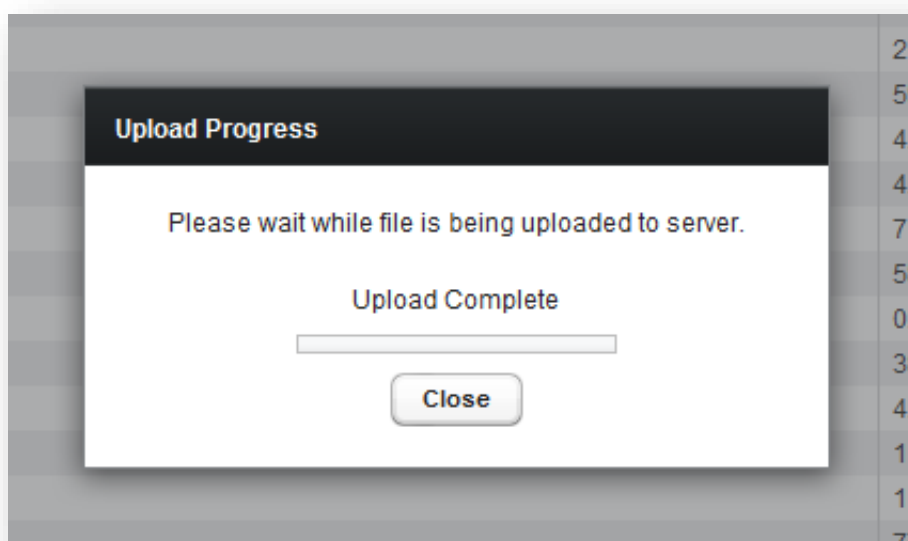
**Step 4:** A Windows File Explorer window will be displayed (as shown below). Navigate to and select the file you wish to upload and Click on the 'Open' button

**Please note: your file must adhere to the file naming standards listed under 'File Naming Standards' (p.13).**



**Please note:** The DSP will accept both zipped and unzipped files.

**If you are resubmitting data for a month which you have previously submitted, the contents of the previous submission will be completely overwritten by this one**



**Step 5** An 'Upload Progress' window will appear on your screen updating you on the progress of the upload. Once your file(s) have been uploaded the text "Upload Complete" should appear in the window. Note that the 'progress bar' in the window will appear as below once completed. Click the 'Close' button to close the window.

Once you have uploaded your file to the DSP, you will receive an email confirming its upload. The data file will then go into a queue to be validated. Your file should be validated on the same day it's uploaded however; during peak periods it can take up to 1 business day depending on the server queue.

## Submitting data in a ZIP file

Multiple or single data files can be submitted in a ZIP file if you preferred. A ZIP file should contain no more than 20 files.

ZIP files have no required naming conventions but the file name may not contain any spaces.

## Reviewing results of validation

Once your submitted file has been validated you should receive an email stating either your file has:

- Successfully validated with no errors
- Passed validation with errors
- Failed validation

If your file has 'passed validation with errors' or 'failed validation' you will receive a validation summary report attachment with this email outlining each error and warning found within the file.

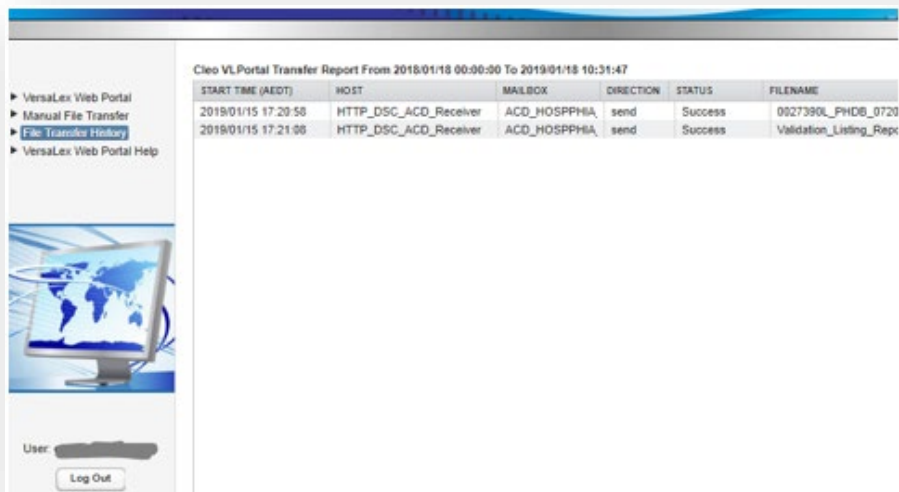
A more comprehensive error report that lists each individual error with its row number and invalid value can be downloaded directly from the DSP. These comprehensive error reports are known as 'Validation Listing Reports'. **See section on [Downloading files and validation reports](#) for step-by-step guide to downloading these files.**

In the case of a file failing validation, please amend the errors and resubmit a corrected file to the DSP.

## Checking history of uploaded files

**This process will allow you to check that files have been uploaded, and to create a 'Transfer Report'**

**Step 1:** To check the files you have uploaded to the EDW, select the 'File Transfer History' link in the menu, as shown below.



This page will display all file uploads associated with your mailbox. Initially, only the current day's transfers will be displayed. Click on each column header to sort the table in ascending or descending order.

**Step 2:** Select the 'Filter' button at the bottom of the page to customise the items you wish to display in your transfer report.



The following page will appear:

The screenshot shows a dialog box titled "Cleo VL Portal Transfer Report Filter". It has a dark header bar with a close button. The main area contains several input fields and a list. At the top, there are two rows of time selection: "From" with a dropdown set to "today" and a time dropdown set to "00:00"; and "To" with a dropdown set to "today" and a time dropdown set to "now". Below these are three tabs: "General", "Tracking", and "Advanced", with "General" selected. Under the "General" tab, there is a section titled "INCLUDE HOST(S)/MAILBOX(E S)" containing a list with one entry: "HTTP\_DSC\_HOSPPIA\_Submitters\HOSPPIA\_OVD\_SU\_PH" which has a checked checkbox. To the right of the list are two buttons: "All" and "None". At the bottom of the dialog are "Continue" and "Cancel" buttons.

### Understanding how the Transfer Report filter works:

- **'From' and 'To' fields:** these fields allow you to select the time range that the report will display. You may either use the drop down menus or enter specific dates (YYYY/MM/DD) and time (HH:MM) fields.
- **Include Host Mailbox(es), Status(es), Direction(s):** these fields allow you to filter based on a specific host or mailbox, status or direction.
- Click the **'All'** button to select all the rows in that section.
- Click the **'None'** button to deselect all the rows in that section.
- Click the **'Continue'** button to refresh the display with the specified criteria you have chosen.
- Click the **'Cancel'** button to cancel the operation and return to the File Transfer History display.
- Click the **'Help'** button for a list of support documentation. This information will be displayed in a separate window.

**Step 3:** Once you have clicked the 'Continue' button on the Transport Report Filter, as shown in Step 2, your File Transfer History will be refreshed based on the selected filter criteria.

## Downloading files and validation reports

Once a file is uploaded and processed, the Portal can provide up to 4 different types of validation reports:

1. Validation Summary report (pdf) – Summary table of errors/warnings
2. Validation Sample report (pdf) – Sample of errors/warnings (not very useful)
3. Validation listing report (pdf) – Full List of errors/warnings
4. Validation listing report (CSV) – (Open in Excel) Full List of errors/warnings with additional information

*You have the ability to download data files that you have previously uploaded to the DSP and/or validation reports returned after validation.*

*These files can be found in your outbox located in the Portal by following the instructions below.*

**Step 1:** To download a file returned from the EDW select the 'Manual File Transfer' tab in the menu. Files returned will be displayed in the 'File Download' section. First click the 'Refresh' button at the bottom of the screen to ensure that the latest files are visible. Click on the 'MODIFIED (AEST)' column heading to sort by date (to see most recent files). To download a file, select it and click 'Download' at the bottom of the screen. You will have the option to open the file or show the file in your 'Downloads' Folder.

VersaLex Web Portal  
Manual File Transfer  
File Transfer History  
VersaLex Web Portal Help

Server Upload Directory  
/inbox Upload...

home > inbox

Server Download Directory  
/outbox

home > outbox

FILENAME	SIZE (KB)	MODIFIED (AEST)
Validation_Listing_Report_46200005_0035610W_PHDB_072019.pdf	2.574	2019/08/26 17:06:11
Validation_Summary_Report_46200005_0035610W_PHDB_072019.pdf	2.632	2019/08/26 17:06:11
Validation_Listing_Report_Sample_46200005_0035610W_PHDB_072019.pdf	2.694	2019/08/26 17:06:10
Validation_Listing_Report_46200005_0035610W_PHDB_072019.csv	0.37	2019/08/26 17:06:08
0035610W_PHDB_072019.txt###113396003	3.746	2019/08/26 16:44:10
0027020W_PHDB_052017.txt###1721675736	146.367	2017/11/14 09:34:31
0027020W_PHDB_052017.txt###653820593	146.367	2017/11/14 09:34:31
0027020W_PHDB_052018.txt###762385825	146.367	2017/11/14 09:34:31
0027020W_PHDB_052018.txt###86270210	146.367	2017/11/14 09:34:31
0067220K_PHDB_052018.txt###1055218220	287.759	2017/11/14 09:34:31
0067220K_PHDB_052018.txt###1105847085	287.759	2017/11/14 09:34:31
0067220K_PHDB_052018.txt###1273935277	287.759	2017/11/14 09:34:31
0067220K_PHDB_052018.txt###316183205	287.759	2017/11/14 09:34:31
0075710T_PHDB_052018.txt###2085737874	287.759	2017/11/14 09:34:31
0075710T_PHDB_052018.txt###314606603	287.759	2017/11/14 09:34:31
0075710T_PHDB_052018.txt###357681804	287.759	2017/11/14 09:34:31
0656281W_PHDB_052018.txt###1515852848	287.759	2017/11/14 09:34:31
ACA_HCP1_102018.txt###1976053129	20.353	2017/11/14 09:34:31
MYO_HCP1_102018.txt###199463422	20.353	2017/11/14 09:34:31
MYO_HCP1_102018.txt###280377267	20.353	2017/11/14 09:34:31

User: [redacted] Log Out

## Correcting errors

If you have errors in the summary report, you will be able to find the individual values causing the errors in the Validation listing report.csv. This file contains more information (eg. 'MRN') than the PDF Validation listing report.

- For PHDB the csv file includes the Medical Record Number (MRN)
- For HCP the csv file includes the Medical Record Number (MRN)
- For HCP1 the csv file includes the Link identifier
- For HCP2 the csv file includes Patient ID
- For GTD the csv file includes Patient ID
- For ANSNAP the csv file includes the AN-SNAP Identifier

You should be able to find the errors using the MRN and correct the errors in your software system. Once errors have been rectified, please upload the amended data file, using the same file name eg 01234567A\_PHDB\_062019.txt. This will overwrite your previous data submission in the Portal.

**NOTE.** A CSV file is a comma-separated values file and has a .csv extension. CSV files can be used with spreadsheet programs, such as Microsoft EXCEL.

A TEXT file has no formatting and has a .txt extension. TEXT files can be used with programs such as Notepad++. (Don't use Notepad)

## Additional features of manual file transfer

(Located at the bottom of the Manual File Transfer screen)

- **'All'** – Click this button to select all the rows in the table.
- **'None'** – Click this button to deselect all the rows in the table.
- **'Refresh'** – Click this button to update the table display in the case where the directory's contents may have changed.
- By selecting **'Zip single selection file download'**, will zip the file you are downloading
- Click **'VERSALEX WEB PORTAL HELP'** on top left side for more help information

## Logging out

Once you have finished using the DSP click the **'Log Out'** button on the left to log out.

If you leave your session inactive for more than a few minutes during use the system will log you out automatically.

# Validation summary report PHDB – Hospitals

ACD

Validation Summary Report    Source Data Validation

For Year: 2013/14

---

Filename:            \_PHDB\_112013.txt####1165024968  
 Report ID: 885362  
 Process ID: 13300015

File Information

---

Lines Read: 300  
 Records Found/Checked: 300  
 Good Records: 289 (96.33 %)  
 Critical Records: 10 (3.333 %)  
 Fatal Records: 1 (0.333 %)  
 Warning Records: 1 (0.333 %)

Data File Summary

---

Count	Field	Code	Description	Type
1	Diagnosis Code 4	E037	Not a valid ICD-10-AM diagnosis code	Critical
1	Prothesis Charge Cent Amount	E048	Not a numeric value	Critical
4	MBS Item Code 1	E040	Not a valid MBS Code	Critical
1	Separation Date	EE201	Separation date and admission date is same but sameday status is not 1	Critical
1	Other Charge Cent Amount	E050	Not a numeric or Blank	Critical
1	Principal MBS Date	E041.1	Date before Admission or after Discharge	Critical
1	Accommodation Charge Cent Amount	E044	Not a numeric value	Critical
4	Separation Time	E031	Blank and Sameday Status = 1	Critical
1	Pharmacy Charge Cent Amount	E049	Not a numeric value	Critical
1	Intensive Care Unit Charge Cent Amount	E047	Not a numeric value	Critical
1	Labour Ward Charge Cent Amount	E046	Not a numeric value	Critical
1	Bundled Charge Cent Amount	E051	Not a numeric or Blank	Critical
1	Separation Date	E010	Not a valid date format or predates admission.	Fatal
1	Coronary Care Unit Charge Cent Amount	EW208	No charges reported	Warning

Error Summary

PAGE    1 / 1

Validation Summary Report

Apr 17, 2014 7:49 AM

# Validation summary report HCP – Hospitals

**ACD** Validation Summary Report Source Data Validation  
For Year: 2020/21

---

Filename: 000000A\_OMF\_HCP\_102020.txt###1015206548 ← File Information  
Report ID: 13430791  
Process ID: 79600001

---

Lines Read: 52  
Records Found/Checked: 52  
Good Records: 51 (98.07 %) ← Data File Summary  
Critical Records: 1 (1.923 %)  
Fatal Records: 0 (0 %)  
Warning Records: 0 (0 %)

Count	Field	Code	Description	Type
1	Insurer Membership Identifier	EE001	Insurer Membership identifier is blank	Critical

↑ Error Summary

## File information

Identifies:

- the filename of the file for which the validation summary report has been produced
- the technical internal identifiers used within the Data Submission Portal.

## Data file summary

Allows you to identify:

- the number of lines read
- the number of records found/checked.
- the number and percentage of:
  - 'Good' records (ie records with no errors).

- 'Critical' records (records with at least one critical error (which must be corrected for the file to 'pass'. These records may also contain identified (warning) errors).
- 'Fatal' records (records with at least one fatal error within the record). Fatal errors are errors which will cause the file to fail even when the error threshold percentage has not been exceeded.
- 'Warning' records (records with at least one identified (warning) error and no critical errors).

## Error summary

The error summary is used to identify the types of errors (critical or identified) by field, code, description and their frequency.

You can find the Validation Summary Report attached to the failed submission email, it is also available to download directly from the Portal (see: 'Downloading files and validation reports').

# Validation summary reports – Funds

ACD

Validation Summary Report    Source Data Validation

For Year: 2020/21

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Filename: HCPF\_122020.txt####1497920417

Report ID: 2416814

Process ID: 42100001

File Information for HCPF

---

Lines Read: 115

Records Found/Checked: 115

Good Records: 0 (0 %)

Critical Records: 109 (94.78 %)

Fatal Records: 1 (0.869 %)

Warning Records: 112 (97.39 %)

Data File Summary for HCPF

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Count	Field	Code	Description	Type
1	Insurer Membership Identifier	EE001	Insurer Membership identifier is blank	Critical
1	Insurer Identifier	EE002	Not a valid Insurer code	Critical
1	Episode Identifier	EE003	Blank not allowed for episode identifier	Critical
2	Episode Identifier	EE003.1	Episode identifier not unique within monthly file	Critical
1	Family Name	EE004	Family name is blank	Critical
1	Date of Birth	EE006	Not a valid date format DDMMYYYY	Critical
1	Postcode - Australian	EE007	Not a valid postcode or 9999 or 8888	Critical
1	Sex	EE008	Not a valid sex code	Critical
1	Admission Date	EE009	Not a valid date format DDMMYYYY	Critical
1	Separation Date	EE010	Not a valid date format or predates admission ,or greater than or equal to the admission date, or the month is not same as month input in Insurer Header	Critical
1	Hospital Type	EE011	Not a valid hospital type code	Critical
1	ICU Days	EE012.0	Not a numeric value	Critical
1	ICU Days	EE012.1	Should be zero for day facilities	Critical
1	ICU Hours	EE013	Not a numeric value or blank	Critical
1	Total Psychiatric Care Days	EE014	Not a numeric value	Critical
1	Admission Time	EE017	Blank and Sameday Status = 1	Critical
1	Urgency of Admission	EE018	Not valid urgency of admission code	Critical
1	Provider Number of Hospital from which transferred	EE019	Not a valid Commonwealth provider number, or OVERSEAS	Critical
2	Provider Number of Hospital from which transferred	EE019.1	Provider number specified where Source of Referral is not 1 or 4	Critical
3	Care Type	EE020	Not a valid care type code	Critical
1	Source of Referral	EE021	Not in range 0-9	Critical
1	Discharge Intention on Admission	EE022	Not a valid Discharge Intention on Admission code	Critical
1	Inter-hospital contracted patient	EE023	Not 1,2,3,4,5 or 9	Critical
1	Mental Health Legal Status	EE024	Invalid Mental Health Legal Status	Critical
1	Palliative Care Status	EE025	Not 0,1 or 2	Critical
1	Re-admission within 28 Days	EE026	Not 1,2,3 or 8	Critical
1	Unplanned Theatre Visit During Episode	EE027	Not 1 or 2	Critical
1	Birth weight of infant neonate stillborn	EE028	Not a numeric value	Critical
1	Hours of Mechanical Ventilation	EE029	Not a numeric value	Critical

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Validation Summary Report

Jul 15, 2020 6:20 PM

Error Summary

Filename: \_ANSNAPF\_122020.txt####934264774  
 Report ID: 2416461  
 Process ID: 41700003

File Information for ANSNAPF

Lines Read: 83  
 Records Found/Checked: 83  
 Good Records: 11 (13.25 %)  
 Critical Records: 55 (66.26 %)  
 Fatal Records: 0 (0 %)  
 Warning Records: 22 (26.50 %)

Data File Summary for ANSNAPF

Count	Field	Code	Description	Type
1	Insurer Identifier	AE002	Blank not allowed for insurer identifier	Critical
1	ANSNAP Identifier	AE003	Blank not allowed for AN-SNAP identifier	Critical
8	Date of Birth	AE006	Not a valid date format DDDMMYYYY	Critical
2	Postcode - Australian	AE007	Not a valid postcode or 9999 or 8888	Critical
2	Sex	AE008	Not a valid sex code	Critical
8	Admission Date	AE009	Not a valid date format DDDMMYYYY	Critical
10	Separation Date	AE010	Not a valid date format or predates admission ,or greater than or equal to the admission date, or the month is not same as month input in Fund Header	Critical
1	Episode Type	AE011	Not a valid episode type code	Critical
4	Admission FIM Item Scores 2	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 11	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 18	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 14	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 15	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 6	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 16	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 1	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 8	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 9	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 5	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 10	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 12	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 13	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 4	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 3	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 17	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
4	Admission FIM Item Scores 7	AE012	Not (1, 2, 3, 4, 5, 6 or 7) and episode type is O	Critical
1	Admission FIM Item Scores 13	AE012.1	Not a numeric value	Critical
1	Admission FIM Item Scores 8	AE012.1	Not a numeric value	Critical
1	Admission FIM Item Scores 9	AE012.1	Not a numeric value	Critical
1	Admission FIM Item Scores 14	AE012.1	Not a numeric value	Critical

Error Summary

## File information

Identifies:

- the filename of the file for which the validation summary report has been produced
- the technical internal identifiers used within the Data Submission Portal.

## Data file summary

Allows you to identify:

- the number of lines read

- the number of records found/checked.
- the number and percentage of:
  - 'Good' records (i.e. records with no errors).
  - 'Critical' records (records with at least one critical error (which must be corrected for the file to 'pass'. These records may also contain identified (warning) errors).
  - 'Fatal' records (records with at least one fatal error within the record). Fatal errors are errors which will cause the file to fail even when the error threshold percentage has not been exceeded.
  - 'Warning' records (records with at least one identified (warning) error and no critical errors).

## Error summary

The error summary is used to identify the types of errors (critical or identified) by field, code, description and their frequency.

You can find the Validation Summary Report attached to the failed submission email, it is also available to download directly from the Portal (see: 'Downloading files and validation reports').

# The validation listing report PHDB PDF

ACD

Validation Detail Report    Source Data Validation

For Year: 2013/14

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Dataset: 2013/14 PHDB VERSION 1  
 Filename: \_PHDB\_122013.txt###785694624  
 Report ID: 899586  
 Process ID: 13900026

File-Information¶

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Line	Field	Data Value	Code	Description	Type
29	MBS Item Code 1	63	E040	Not a valid MBS Code	Critical
539	MBS Item Code 1	63	E040	Not a valid MBS Code	Critical
592	MBS Item Code 1	63	E040	Not a valid MBS Code	Critical
594	MBS Item Code 1	63	E040	Not a valid MBS Code	Critical
859	MBS Item Code 1	63	E040	Not a valid MBS Code	Critical
860	MBS Item Code 1	63	E040	Not a valid MBS Code	Critical
357	Prosthesis Charge Cent Amount	000004000	EW204	Prosthesis charged but no theatre or bundled charge recorded	Warning
635	Prosthesis Charge Cent Amount	000026000	EW204	Prosthesis charged but no theatre or bundled charge recorded	Warning
771	Prosthesis Charge Cent Amount	001345400	EW204	Prosthesis charged but no theatre or bundled charge recorded	Warning
605	MBS Item Code 1	13915	EW205	Therapeutic Principal MBS present but no Principal Procedure	Warning
771	Accommodation Charge Cent Amount	000706200	EW206	Accommodation Charge exceeds \$2000 X LOS	Warning
14	Coronary Care Unit Charge Cent Amount	000000000	EW208	No charges reported	Warning
17	Coronary Care Unit Charge Cent Amount	000000000	EW208	No charges reported	Warning
21	Coronary Care Unit Charge Cent Amount	000000000	EW208	No charges reported	Warning

Error-Details¶

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Validation Detail Report

Apr 20, 2014 9:09 AM

# The validation listing report HCP PDF

**ACD** *Validation Detail Report* *Source Data Validation*  
For Year: 2020/21

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Dataset: 2020/21 HCP File  
Filename: 0015040A\_OMF\_HCP\_102020.txt###1015206548  
Report ID: 13430791  
Process ID: 79600001

---

Line	Field	Data Value	Code	Description	Type
2	Insurer Membership Identifier		EE001	Insurer Membership identifier is blank	Critical

## File information

Identifies:

- the filename of the file for which the validation summary report has been produced
- the technical internal identifiers used within the Data Submission Portal.

## Error details

Error Details' gives you a listing of each individual error/warning with the line on which it occurs and the data value which is causing the error/warning

The Validation Listing Report PDF is available to download directly from the Portal (see: 'Downloading files and validation reports').

# The validation listing report CSV

Line No.	Medical Record Number	Field	Data Value	Error Code	Description	Type
4	46200005	Principal Diagnosis	E036	E036	Not a valid ICD-10-AM diagnosis code	Critical
4	46200005	Coronary Care Unit Charge Ce	'000000000'	EW208	No charges reported	Warning

## File information

Identifies:

- the filename of the file for which the validation listing report has been produced
- the technical internal identifiers used within the Data Submission Portal.

## Error details

The CSV report gives you a listing of each individual error/warning including additional information, with the line on which it occurs and the data value which is causing the error/warning. The CSV version of the validation listing report contains the same listing of errors as in the PDF version, but in addition, includes Medical Record Number (MRN) for PHDB and HCP, AN-SNAP Identifier for ANSNAP, Link Identifier for HCP1, and Patient ID for HCP2 and GTD.

The Validation Listing Report CSV is available to download directly from the Portal (see: 'Downloading files and validation reports').

# What to do if your file fails

1. Use the Validation Summary Report and Validation listing Report to identify:
  - the types of errors and their frequency
  - where the errors have occurred
  - why the errors have occurred
2. Once you have identified the errors go back to your original data file to correct them.  
*Note all 'fatal' errors must be corrected for the data file to 'PASS'.*
3. Re-submit the amended data file through the Data Submission Portal
4. If you need help you can contact the PHDB/HCP help line 02 62898058, or email the HCP/PHDB inbox [hcp@health.gov.au](mailto:hcp@health.gov.au)

**Please alert the Department of any errors that you think are being incorrectly flagged or are inconsistent with the data specifications or are impossible to address.**

## How to find your errors

Look at Validation Summary Report for general information regarding the errors

Look at the Validation Listing Report CSV for a more in-depth analysis of the errors. The Validation Listing Report is available to download through the portal, see the section of this document titled 'Downloading files and validation reports' for more information.

Check the data specifications for an overview of each individual edit rule.

# Troubleshooting

## Incorrect/forgotten password

### Problem:

**Password reset required**

You have forgotten your password or have had too many unsuccessful attempts at logging in and require a password reset.

### Solution:

If you have set your secure password challenge questions then navigate to [Reset Forgotten Password](#)

If you have not set your password challenge questions or do not know the answers to the questions a password reset can be requested by emailing [hcp@health.gov.au](mailto:hcp@health.gov.au), and providing us with your user name.

## Accessing the portal for the first time

### Problem:

**An error message displays when clicking 'Manual File Transfer' for the first time.**

### Solution:

After a long initialisation period, an error message may appear. If this happens, click on the 'Refresh' button. The 'Manual File Transfer' page should then be displayed and you will be able to upload files. Should this problem persist, please contact the EDW Service Desk at [hcp@health.gov.au](mailto:hcp@health.gov.au).

## Uploading files

### Problem:

**'File Transfer History' is not showing.**

Logged in and successfully uploaded files to the DSP however, when 'File Transfer History' is selected from the menu, uploaded files are not displayed.

## Solution:

If this happens, click on the 'Refresh' button or elsewhere on the screen but not on the error message. This will cause the page to refresh and load the File Transfer History page. Should this problem persist, please contact the EDW Service Desk at [hcp@health.gov.au](mailto:hcp@health.gov.au).

## Problem:

**Internet Explorer cannot display the page.**

The error message "Internet Explorer cannot display the page" appears as file uploading is attempted.

## Solution:

A limitation exists in some versions of Internet Explorer when uploading large files (>1 GB). The file is uploaded successfully but displays an error message or the files fail to upload but the user still receives a "Files uploaded" notification. This error does not exist for Mozilla Firefox and Google Chrome when uploading files larger than 1GB. Should this problem persist, please contact the EDW Service Desk at [hcp@health.gov.au](mailto:hcp@health.gov.au).

## Validation reports

### Problem:

**Validation reports are not attached to the notification email received after data validation.**

Auto notification email received from the EDW DSP indicating errors in the file(s) submitted but there was no validation summary report attached to the email

### Solution:

This is a technical problem – please contact the EDW Service Desk at [hcp@health.gov.au](mailto:hcp@health.gov.au)

### Problem:

**Validation report errors are too difficult to interpret**

Validation summary reports received but not able to make sense of errors

### Solution:

Refer to the PHIA [data specification](#) relevant to the data collection you are submitting.

If you are still unable to interpret your errors, please email the HCP/PHDB inbox at [hcp@health.gov.au](mailto:hcp@health.gov.au) with your query or phone the HCP/PHDB hotline on (02) 6289 8058.

## Problem:

**Only attachment received with auto notification was the validation summary report.**

**The full range of errors was not displayed.**

The attached validation report from the auto notification email only outlines the first 50 errors and not the full range of errors.

## Solution:

A summary of the validation report, which contains the first 50 errors, will be attached to the auto notification email. If the file contains, more than 50 errors, you will need to go to your 'Outbox' and download them. See section 3.5 [Downloading files and validation reports](#) from the Outbox for instructions.

# Supported browsers

## What browsers are supported?

The following Browser/Versions are currently supported for DSP use:

- Internet Explorer version 10 or higher
- Google Chrome version 87 or higher
- Firefox version 2 or higher

Other browsers and browser versions may work, but are not supported.

## Issues with Internet Explorer Version 10 or higher?

If you are using Internet Explorer version 10 or higher and the DSP is not behaving as expected try running the DSP website in compatibility mode.

Instructions for how to run a website in compatibility mode are available

at:<http://windows.microsoft.com/en-AU/internet-explorer/use-compatibility-view#ie=ie-11>

## Any other requirements?

When setting up your browser make sure to:

- Turn off any pop-up blockers
- Install Java runtime extensions required for the browser to work with the Web GUI Interface. (as prompted)
- Recommendation for Java Runtime Extension is version 1.6 or higher

*A minimum screen resolution of 1024X768 is highly recommended.*