

ORGAN AND TISSUE AUTHORITY

Entity Resources and Planned Performance

OTA

Organ and Tissue Authority

Health Portfolio Entity

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The logo consists of the letters 'OTA' in a white, sans-serif font, oriented vertically within a solid black rectangular background.

Section 1: Entity Overview and Resources

1.1 Strategic Direction Statement

The Australian Organ and Tissue Donation and Transplantation Authority, also known as the Organ and Tissue Authority (OTA) works with States and Territories, clinicians and the community sector, to deliver the national reform program to implement a world's best practice approach to organ and tissue donation for transplantation, endorsed by the Council of Australian Governments on 3 July 2008.

Australia's national reform program is implemented within the context of clear international commitments and statements by the World Health Organization relating to the obligation of governments to be accountable and responsible for implementing safe, ethical and effective organ and tissue donation and transplantation systems.

Only around 1-2 per cent of people who die in hospitals, die in the specific circumstances required to be a potential organ donor, though many more can become eye and tissue donors.

The OTA will focus on the following three key areas to ensure organ and tissue donation is delivered on a collaborative basis throughout Australia, with a view to increasing organ donation outcomes to 25 donors per million population by 2018:

- Increasing the number of potential organ and tissue donors;
- Improving organ and tissue donor conversion rates; and
- Enhancing systems to support organ and tissue donation and transplantation.

The OTA is a statutory authority established by the *Australian Organ and Tissue Donation and Transplantation Authority Act 2008*. This Act sets out the primary responsibilities for the OTA and the functions of the Chief Executive Officer.

The OTA is a Non-corporate Commonwealth Entity under the *Public Governance, Performance and Accountability Act 2013*. Operational details are contained in the Corporate Plan, which is available on the OTA website.

In 2015, the Government decided not to proceed with the merger of the National Blood Authority and the OTA, as previously announced in the 2014-15 Budget. Some savings and efficiencies will be achieved by continuing to merge the corporate services of these entities, with changes to come into full effect by 30 June 2016.

1.2 Entity Resource Statement

Table 1.1 shows the total funding from all sources available to the entity for its operations and to deliver programs and services on behalf of the Government.

The table summarises how resources will be applied by Outcome (Government strategic policy objectives) and by Administered (on behalf of the Government or the public) and Departmental (for the entity's operations) classification.

For more detailed information on special accounts and special appropriations, please refer to *Budget Paper No. 4 – Agency Resourcing*.

Information in this table is presented on a resourcing (i.e. appropriations/cash available) basis, whilst the 'Budgeted expenses by Outcome' tables in Section 2 and the financial statements in Section 3 are presented on an accrual basis.

Table 1.1: OTA Resource Statement – Budget Estimates for 2016-17 as at Budget May 2016

	2015-16 Estimated actual \$'000	2016-17 Estimate \$'000
DEPARTMENTAL		
Prior year appropriation available	3,276	3,276
Annual appropriations		
Ordinary annual services ¹		
Departmental appropriation	5,598	5,530
s74 retained revenue receipts ²	-	-
Departmental capital budget ³	255	254
Other services ⁴		
Equity injection	-	-
Total departmental annual appropriations	5,853	5,784
Total departmental resourcing	9,129	9,060
ADMINISTERED		
Prior year appropriation available	80	80
Annual appropriations		
Ordinary annual services ¹		
Outcome 1	46,872	43,766
Total administered annual appropriations	46,872	43,766
Total administered resourcing	46,952	43,846
Total resourcing for OTA	56,081	52,906
	2015-16	2016-17
Average staffing level (number)	27	28

All figures are GST exclusive.

¹ Appropriation Bill (No. 1) 2016-17.

² Estimated retained revenue receipts under section 74 of the PGPA Act 2013.

³ Departmental capital budgets (DCB) are not separately identified in Appropriation Bill (No. 1) and form part of ordinary annual services items. Refer to Table 3.5 for further details. For accounting purposes, this amount has been designated as a 'contribution by owner'.

⁴ Appropriation Bill (No. 2) 2016-17.

1.3 Budget Measures

Budget measures in Part 1 relating to the OTA are detailed in *Budget Paper No. 2* and are summarised below.

Table 1.2: OTA 2016-17 Budget Measures

	Program	2015-16 \$'000	2016-17 \$'000	2017-18 \$'000	2018-19 \$'000	2019-20 \$'000
Organ and Tissue Donation Review - implementation of recommendations						
Australian Organ and Tissue Donation and Transplantation Authority						
Administered expenses	1.1	-	-	-	-	-
Total		-	-	-	-	-

Only OTA inputs of this measure are shown here. For all entity impact of this measure refer Table 1.2 in the Department of Health chapter of this publication.

This entity was impacted by the 2015-16 *Mid-Year Economic and Fiscal Outlook* measure *Public Sector Savings – Shared and Common Services Program*. For details refer to Table 1.2 in the Department of Health chapter of this publication.

Section 2: Outcomes and Planned Performance

Government Outcomes are the intended results, impacts or consequences of actions by the Government on the Australian community. Commonwealth programs are the primary vehicle by which Government entities achieve the intended results of their Outcome statements. Entities are required to identify the programs which contribute to Government Outcomes over the Budget and forward years.

Each Outcome is described below together with its related programs. The following provides detailed information on expenses for each Outcome and program, further broken down by funding source.

Note: From 1 July 2015, performance reporting requirements in the Portfolio Budget Statements sit alongside those required under the Enhanced Commonwealth Performance Framework. It is anticipated that the performance criteria described in Portfolio Budget Statements will be read with broader information provided in an entity's corporate plan and annual performance statement – included in Annual Reports from October 2016 – to provide an entity's complete performance story.

2.1 Budgeted Expenses and Performance

Outcome 1: Improved access to organ and tissue transplants, including through a nationally coordinated and consistent approach and system

Linked Programs

Commonwealth entity and linked program	Contribution to Outcome 1 made by linked programs
Department of Health Program 1.1: Health Policy Research and Analysis	The Department of Health has policy responsibility for the Australian Organ Donor Register, and the administration of the Supporting Leave for Living Organ Donors Programme. In addition, Health provides strategic advice on national approaches around access to organ donation and transplantation services, payments and emerging national and international issues.
Department of Human Services Program 1.2: Services to the Community – Health	The Department of Human Services administers the Australian Organ Donor Register.

Budgeted Expenses for the OTA

This table shows how much the entity intends to spend (on an accrual basis) on achieving the Outcome, broken down by program, as well as by Administered and Departmental funding sources.

Table 2.1.1: Budgeted Expenses for the OTA

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward Year 1 \$'000	2018-19 Forward Year 2 \$'000	2019-20 Forward Year 3 \$'000
Program 1.1: Coordination of organ and tissue donation and transplantation					
Administered expenses					
Ordinary annual services ¹	46,872	43,766	42,282	42,959	43,689
Departmental expenses					
Departmental appropriation ²	5,598	5,530	5,559	5,630	5,668
Expenses not requiring appropriation in the Budget year ³	428	405	405	129	551
Operating loss	-	-	-	-	-
Total for Program 1.1	52,898	49,701	48,246	48,718	49,908
Total expenses for Outcome 1	52,898	49,701	48,246	48,718	49,908

	2015-16	2016-17
Average staffing level (number)	27	28

¹ Appropriation Bill (No. 1) 2016-17.

² Departmental appropriation combines "Ordinary annual services (Appropriation Bill No. 1)" and "Revenue from independent sources (s74)".

³ Expenses not requiring appropriation in the Budget year are made up of depreciation expense, amortisation expense, makegood expense and audit fees.

Movement of Funds

There were no movements of Administered funds between years.

Table 2.1.2: Performance Criteria for the OTA

Table 2.1.2 below details the performance criteria for each program associated with Outcome 1.¹ It also summarises how each program is delivered and where 2016-17 Budget measures have created new programs or materially changed existing programs.

Outcome	1: Improved access to organ and tissue transplants, including through a nationally coordinated and consistent approach and system
Program	<p>1.1: A Nationally Coordinated System for Organ and Tissue Donation for Transplantation</p> <p>The Australian Government aims to deliver a nationally coordinated leading practice approach to organ and tissue donation for transplantation, in collaboration with the States and Territories, clinicians and the community sector.</p>
Purpose	To save and improve more lives through optimising every potential organ and tissue donation for transplantation. ²
Delivery	<p>Program activities, intended to benefit the Australian community, will be delivered under the following program objectives:</p> <p>A. Increasing sector capability and capacity to maximise donation and transplantation rates</p> <p>B. Improving community awareness and stakeholder engagement to promote organ and tissue donation</p>
Program objective	
A. Increasing sector capability and capacity to maximise donation and transplantation rates	
<p>In 2016-17, the OTA, in partnership with State and Territory Governments and the DonateLife Network, will complete the implementation of the Clinical Practice Improvement Program Phase 2 and the DonateLife Collaborative.³ By 30 June 2017, the establishment and activation of cross-border hospital team peer relationships will be complete, with local organ and tissue donation practice strengthened through exposure to alternate systems and approaches within a national framework.</p> <p>The OTA will collaborate with State and Territory Governments and Australian eye banks to implement an eye module in the existing Electronic Donor Record (EDR). The EDR eye module will provide a national web-based information system that will provide for the management of all donated eye tissue processes from the point of donor identification to the dispatch of processed eye tissue to clinicians for surgical use. It will replace the current separate, state-based systems, streamline eye-banking processes, and facilitate timely national data collection and reporting.</p> <p>In 2016-17, the OTA will implement the Australian best practice request and consent model for organ and tissue donation (the consent model). The consent model involves the participation of a trained donation specialist to discuss donation and support families when organ donation is first discussed with the family of a potential donor. The consent model</p>	

¹ Progress against the performance criteria published in the 2015-16 Portfolio Budget Statements will be reported in the 2015-16 OTA Annual Report.

² This purpose text will be reflected in the 2016-17 OTA Corporate Plan.

³ The Targeted Hospital Improvement Program became known as the DonateLife Collaborative on 1 January 2016.



<p>will be supported by the ongoing delivery of specialist training through the OTA’s Professional Education Package, which includes the core, practical and advanced Family Donation Conversation (FDC) workshops⁴ and a complementary eLearning program. The OTA will work closely with the community, clinicians and stakeholders to achieve these outcomes; in particular implementing the Government’s response to the findings of the 2015-16 review of the implementation of the national reform program on organ and tissue donation and transplantation and progressing the economic analysis of the Australian eye and tissue sector. This work will be undertaken in collaboration with the Department of Health and the Department of Human Services.</p>					
Qualitative performance criteria		2016-17 Reference point or target			
Implementation of the Clinical Practice Improvement Program Phase 2 and DonateLife Collaborative. ⁵		Accountability and performance reporting frameworks embedded in all DonateLife Collaborative hospitals by 30 June 2017.			
Implementation of an eye module in the Electronic Donor Record (EDR).		The EDR eye module is implemented by 30 June 2017.			
Participation of a FDC-trained donation specialist in the family conversation when organ and tissue donation is discussed as part of the Australian best practice request and consent model for organ and tissue donation.		An FDC-trained donation specialist participates in 100% of all family donation discussions in DonateLife Network hospitals.			
Quantitative performance criteria	2015-16 Target	2016-17 Target	2017-18 Target	2018-19 Target	2019-20 Target
Rate of request by hospital staff to families for organ and tissue donation.	100%	100%	100%	100%	100%
Rate of family consent to organ and tissue donation.	75%	75%	75%	75%	75%
Program objective					
B. Improving community awareness and stakeholder engagement to promote organ and tissue donation					
<p>The OTA will continue to educate the Australian community about the need for family discussion, knowledge, and registration of organ and tissue donation decisions in 2016-17. To increase registrations on the Australian Organ Donor Register, the OTA will implement the national donor online registration campaign, in partnership with the Department of Human Services and key community stakeholders.</p>					

⁴ Advanced FDC workshops provide treating clinicians and donation specialists with increased knowledge and skills to support families in making donation decisions.

⁵ This performance criterion has been revised. The targets reported in the 2015-16 Portfolio Budget Statements have been achieved.

Qualitative performance criteria	2016-17 Reference point or target
In partnership with sector and community organisations, conduct community awareness and education activities on organ and tissue donation, including an online Australian Organ Donor Register registration drive. ⁶	Increase the number of annual registrations on the Australian Organ Donor Register by 30 June 2017. ⁷
Material changes to Program 1.1 resulting from the following measures:	
<ul style="list-style-type: none"> • <i>Organ and Tissue Donation Review – implementation of recommendations</i> 	

⁶ This performance criterion has been revised. The targets reported in the 2015-16 Portfolio Budget Statements have been achieved.

⁷ Available at: www.medicareaustralia.gov.au/aodr

Section 3: Budgeted Financial Statements

Section 3 presents budgeted financial statements, which provide a comprehensive snapshot of entity finances for the 2016-17 Budget year, including the impact of Budget measures and resourcing on financial statements.

3.1 Budgeted Financial Statements

3.1.1 Differences Between Entity Resourcing and Financial Statements

This section is not applicable to the OTA.

3.1.2 Explanatory Notes and Analysis of Budgeted Financial Statements

An analysis of the OTA's budgeted financial statements for 2016-2017 is provided below.

Departmental Resources

For the Budget and forward years the OTA is expected to achieve a break even position net of unfunded depreciation. In 2016-2017 the OTA has appropriation revenue of \$5.5 million and total expenses are estimated at \$5.9 million.

Administered Resources

The OTA administers funds associated with the delivery of the Australian Government's national reform program to implement a world's best practice approach to organ and tissue donation for transplantation.

In 2016-2017 the OTA has forecast Administered expenses of \$43.8 million. The reduction in 2016-2017 reflects the allocation received in 2015-2016 for the OTA Budget measure *Accelerating Growth in Organ and Tissue Donation for Transplantation*.

3.2 Budgeted Financial Statements Tables

Table 3.1: Comprehensive Income Statement (showing net cost of services) for the period ended 30 June

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
EXPENSES					
Employee benefits	4,323	4,312	4,318	4,323	3,939
Supplier expenses	1,345	1,288	1,311	1,377	1,799
Depreciation and amortisation	358	335	335	59	481
Total expenses	6,026	5,935	5,964	5,759	6,219
LESS:					
OWN-SOURCE INCOME					
Revenue					
Sale of goods and rendering of services	-	-	-	-	-
Other	-	-	-	-	-
Total revenue	-	-	-	-	-
Gains					
Other	70	70	70	70	70
Total gains	70	70	70	70	70
Total own-source income	70	70	70	70	70
Net cost of (contribution by) services	5,956	5,865	5,894	5,689	6,149
Revenue from Government	5,598	5,530	5,559	5,630	5,668
Surplus (deficit)	(358)	(335)	(335)	(59)	(481)
Surplus (deficit) attributable to the Australian Government	(358)	(335)	(335)	(59)	(481)
OTHER COMPREHENSIVE INCOME					
Changes in asset revaluation reserves	-	-	-	-	-
Total other comprehensive income	-	-	-	-	-
Total comprehensive income attributable to the Australian Government	(358)	(335)	(335)	(59)	(481)
Note: Reconciliation of comprehensive income attributable to the entity					
	2015-16 \$'000	2016-17 \$'000	2017-18 \$'000	2018-19 \$'000	2019-20 \$'000
Total comprehensive income (loss) attributable to the Australian Government	(358)	(335)	(335)	(59)	(481)
plus non-appropriated expenses depreciation and amortisation expenses	358	335	335	59	481
Total comprehensive income (loss) attributable to the entity	-	-	-	-	-

Table 3.2: Budgeted Departmental Balance Sheet (as at 30 June)

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
ASSETS					
Financial assets					
Cash and cash equivalents	55	55	55	55	55
Receivables	3,248	3,248	3,248	3,248	3,248
Other	-	-	-	-	-
Total financial assets	3,303	3,303	3,303	3,303	3,303
Non-financial assets					
Land and buildings	392	383	374	374	261
Property, plant and equipment	139	168	196	234	306
Intangibles	964	863	765	926	746
Other	62	62	62	62	62
Total non-financial assets	1,557	1,476	1,397	1,596	1,375
Total assets	4,860	4,779	4,700	4,899	4,678
LIABILITIES					
Payables					
Suppliers	126	126	126	126	126
Other payables	697	697	697	697	697
Total payables	823	823	823	823	823
Provisions					
Employees	1,068	1,068	1,068	1,068	1,068
Other provisions	-	-	-	-	-
Total provisions	1,068	1,068	1,068	1,068	1,068
Total liabilities	1,891	1,891	1,891	1,891	1,891
Net Assets	2,969	2,888	2,809	3,008	2,787
EQUITY					
Contributed equity	2,804	3,058	3,314	3,572	3,832
Reserves	651	651	651	651	651
Retained surpluses or accumulated deficits	(486)	(821)	(1,156)	(1,215)	(1,696)
Total equity	2,969	2,888	2,809	3,008	2,787

Table 3.3: Departmental Statement of Changes in Equity — Summary of Movement (Budget year 2016-17)

	Retained earnings \$'000	Asset revaluation reserve \$'000	Contributed equity/ capital \$'000	Total equity \$'000
Opening balance as at 1 July 2016				
Balance carried forward from previous period	(486)	651	2,804	2,969
Surplus (deficit) for the period	(335)	-	-	(335)
Capital budget - Bill 1 (DCB)	-	-	254	254
Estimated closing balance as at 30 June 2017	(821)	651	3,058	2,888

Table 3.4: Budgeted Departmental Statement of Cash Flows (for the period ended 30 June)

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
OPERATING ACTIVITIES					
Cash received					
Appropriations	5,598	5,577	5,581	5,686	5,668
GST received	203	203	165	165	165
Total cash received	5,801	5,780	5,746	5,851	5,833
Cash used					
Employees	4,323	4,312	4,318	4,323	3,939
Suppliers	1,275	1,265	1,263	1,363	1,729
GST paid	203	203	165	165	165
Total cash used	5,801	5,780	5,746	5,851	5,833
Net cash from (or used by) operating activities	-	-	-	-	-
INVESTING ACTIVITIES					
Cash used					
Purchase of property, plant and equipment	255	254	256	257	260
Total cash used	255	254	256	257	260
Net cash from (or used by) investing activities	(255)	(254)	(256)	(257)	(260)
FINANCING ACTIVITIES					
Cash received					
Capital budget - Bill 1 (DCB)	255	254	256	257	260
Total cash received	255	254	256	257	260
Net cash from (or used by) financing activities	255	254	256	257	260
Net increase (or decrease) in cash held	-	-	-	-	-
Cash and cash equivalents at the beginning of the reporting period	55	55	55	55	55
Cash and cash equivalents at the end of the reporting period	55	55	55	55	55

Table 3.5: Departmental Capital Budget Statement (for the period ended 30 June)

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
CAPITAL APPROPRIATIONS					
Capital budget - Bill 1 (DCB)	255	254	256	257	260
Equity injections - Bill 2	-	-	-	-	-
Total capital appropriations	255	254	256	257	260
Total new capital appropriations represented by:					
Purchase of non-financial assets	255	254	256	257	260
Other	-	-	-	-	-
Total items	255	254	256	257	260
PURCHASE OF NON-FINANCIAL ASSETS					
Funded by capital appropriations - equity injection ¹	-	-	-	-	-
Funded by capital appropriation - DCB ²	255	254	256	257	260
Funded internally from departmental resources	-	-	-	-	-
Total acquisitions of non-financial assets	255	254	256	257	260
RECONCILIATION OF CASH USED TO ACQUIRE ASSETS TO ASSET MOVEMENT TABLE					
Total purchases	255	254	256	257	260
Total cash used to acquire assets	255	254	256	257	260

¹ Includes both current Bill 2, prior Act 2/4/6 appropriations and special capital appropriations.

² Does not include annual finance lease costs. Includes purchases from current and previous years' Departmental Capital Budgets (DCB).

Table 3.6: Statement of Asset Movements (Budget year 2016-17)

	Buildings	Other property, plant and equipment	Intangibles	Total
	\$'000	\$'000	\$'000	\$'000
As at 1 July 2016				
Gross book value	766	338	1,800	2,904
Accumulated depreciation amortisation and impairment	(374)	(199)	(836)	(1,409)
Opening net book balance	392	139	964	1,495
CAPITAL ASSET ADDITIONS				
Estimated expenditure on new or replacement assets				
By purchase - appropriation ordinary annual services	-	69	185	254
Sub-total	-	69	185	254
Other movements				
Depreciation/amortisation expense	(9)	(40)	(286)	(335)
Disposals ¹	-	-	-	-
Other	-	-	-	-
Total other movements	(9)	(40)	(286)	(335)
As at 30 June 2017				
Gross book value	766	407	1,985	3,158
Accumulated depreciation amortisation and impairment	(383)	(239)	(1,122)	(1,744)
Closing net book balance	383	168	863	1,414

¹ Net proceeds may be returned to the Official Public Account.

Table 3.7: Schedule of Budgeted Income and Expenses Administered on Behalf of Government (for the period ended 30 June)

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
EXPENSES ADMINISTERED ON BEHALF OF GOVERNMENT					
Grants	41,527	38,126	37,564	37,564	42,075
Supplier expenses	5,345	5,640	4,718	5,395	1,614
Total expenses administered on behalf of Government	46,872	43,766	42,282	42,959	43,689

Table 3.8: Schedule of Budgeted Assets and Liabilities Administered on Behalf of Government (as at 30 June)

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
ASSETS ADMINISTERED ON BEHALF OF GOVERNMENT					
Financial assets					
Cash and cash equivalents	80	80	80	80	80
Receivables	231	231	231	231	231
Total financial assets	311	311	311	311	311
Non-financial assets					
Other	133	133	133	133	133
Total non-financial assets	133	133	133	133	133
Total assets administered on behalf of Government	444	444	444	444	444
LIABILITIES ADMINISTERED ON BEHALF OF GOVERNMENT					
Payables					
Grants	10,968	10,968	10,968	10,968	10,968
Suppliers	73	73	73	73	73
Other payables	107	107	107	107	107
Total payables	11,148	11,148	11,148	11,148	11,148
Total liabilities administered on behalf of Government	11,148	11,148	11,148	11,148	11,148

Table 3.9: Schedule of Budgeted Administered Cash Flows (for the period ended 30 June)

	2015-16 Estimated actual \$'000	2016-17 Budget \$'000	2017-18 Forward estimate \$'000	2018-19 Forward estimate \$'000	2019-20 Forward estimate \$'000
OPERATING ACTIVITIES					
Cash received					
GST received	752	752	752	752	752
Total cash received	752	752	752	752	752
Cash used					
Grant payments	41,527	38,126	37,564	37,564	42,075
Suppliers	5,345	5,640	4,718	5,395	1,614
GST paid	752	752	752	752	752
Total cash used	47,624	44,518	43,034	43,711	44,441
Net cash from (or used by) operating activities	(46,872)	(43,766)	(42,282)	(42,959)	(43,689)
Net increase (or decrease) in cash held					
(46,872)	(43,766)	(42,282)	(42,959)	(43,689)	
Cash at beginning of reporting period	80	80	80	80	80
Cash from Official Public Account for:					
- appropriations	46,872	43,766	42,282	42,959	43,689
Cash to the Official Public Account	-	-	-	-	-
Cash at end of reporting period	80	80	80	80	80